<table>
<thead>
<tr>
<th>Document information</th>
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<tbody>
<tr>
<td><strong>Information</strong></td>
<td><strong>Content</strong></td>
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<tr>
<td>Keywords</td>
<td>SESIP, Security Target, LPC55S1x , LPC55S16, LPC55S14</td>
</tr>
<tr>
<td>Abstract</td>
<td>Evaluation of the LPC55S1x developed and provided by NXP Semiconductors, BL Edge Processing, according to SESIP Assurance Level 2 (SESIP2), based on SESIP methodology, version 1.0</td>
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## Revision History

<table>
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<tr>
<td>0.1</td>
<td>2020-04-29</td>
<td>First version</td>
</tr>
<tr>
<td>0.2</td>
<td>2020-05-04</td>
<td>Updated PP reference version and SDK content</td>
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<tr>
<td>0.3</td>
<td>2020-05-05</td>
<td>Updated PP reference version, Section 2.1, added Section 3.2.5 and explicitly stated RSA mechanism used in Section 3.2.3 and Section 3.2.4.</td>
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<td>0.4</td>
<td>2020-05-13</td>
<td>Added ROM Patch Reference</td>
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<tr>
<td>0.5</td>
<td>2020-08-19</td>
<td>Updated according to evaluator feedback</td>
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<td>0.6</td>
<td>2020-08-28</td>
<td>Updated according to evaluator feedback</td>
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<tr>
<td>0.7</td>
<td>2020-09-10</td>
<td>Updated debug function and patch version description</td>
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<tr>
<td>0.8</td>
<td>2020-09-11</td>
<td>Updated debug function</td>
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<tr>
<td>0.9</td>
<td>2020-09-15</td>
<td>Updated Section 3.2.1</td>
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# 1 Introduction

This Security Target describes the LPC55S1x platform and the exact security properties of the platform that are evaluated against GlobalPlatform Technology Security Evaluation Standard for IoT Platforms (SESIP), version 1.0 [1].

## 1.1 ST Reference

LPC55S1x, SESIP Security Target, Revision 0.9, NXP Semiconductors, 15 September 2020.

## 1.2 Protection Profile Reference and Conformance Claims

<table>
<thead>
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<th>Value</th>
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<tbody>
<tr>
<td>PP Name</td>
<td>Protection Profile for Secure MCUs and MPUs [2]</td>
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<tr>
<td>PP Version</td>
<td>V0.107</td>
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<td>Assurance Claim</td>
<td>SESIP Assurance Level 2 (SESIP2)</td>
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<td>Package Claim</td>
<td>Base PP, Package Secure Services, and Package Software Isolation</td>
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## 1.3 Platform Reference

LPC55S1x

<table>
<thead>
<tr>
<th>Reference</th>
<th>Value</th>
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<tr>
<td>Platform Name and Version</td>
<td>LPC55S1x, Rev. A0 ROM Firmware, 3.0.0 ROM Firmware Patch, Rev. 3</td>
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<tr>
<td>Platform Identification</td>
<td>LPC55S14, LPC55S16</td>
</tr>
<tr>
<td>Platform Type</td>
<td>Microcontroller platform for IoT applications</td>
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## 1.4 Included Guidance Documents

The following documents are included with the platform:

<table>
<thead>
<tr>
<th>Document</th>
<th>Reference</th>
</tr>
</thead>
<tbody>
<tr>
<td>Product Data Sheet</td>
<td>LPC55S1x/LPC551x Product data sheet, Rev.0.7, NXP Semiconductors [5]</td>
</tr>
<tr>
<td>Application Note</td>
<td>AN12278, LPC55S00 Security Solutions for IoT, Rev. 1, NXP Semiconductors [8]</td>
</tr>
<tr>
<td>Application Note</td>
<td>AN12283, LPC55Sxx Secure Boot, Rev. 1, NXP Semiconductors [6]</td>
</tr>
<tr>
<td>Application Note</td>
<td>AN12445, Asymmetric Cryptographic Accelerator CASPER, Rev. 3, NXP Semiconductors [7]</td>
</tr>
</tbody>
</table>
1.5 Platform Overview and Description

The LPC55S1x consists of hardware and software, the software is divided into an immutable part, stored in ROM, which includes the code performing the MCU/MPU’s secure initialization, as well as the optional firmware, which can be modified and updated during the product lifecycle.

The LPC55S1x is intended to be used by an integrator as a basis to develop an IoT Platform, by adding to it the required components, including a Root-of-Trust software layer, an operating system and connectivity, as well as additional hardware components as required by the use case.

The LPC55S1x MCU family expands the world’s first general purpose Cortex-M33-based MCU series, offering significant advantages for developers, including pin-, software- and peripheral-compatibility for ease of use and to accelerate time to market, while leveraging the cost-effective 40-nm NVM process technology.

The LPC55S1x is the baseline family within the LPC5500 MCU series, providing new levels of cost and performance efficiency in addition to advanced security and system integration for industrial and general embedded markets.

1.5.1 Platform Security Features and Scope

The LPC55S1x offers the following security features:

- ARM TrustZone enabled.
- PRINCE module for real-time encryption of data being written to on-chip flash and decryption of encrypted flash data during read to allow asset protection
- CASPER Crypto co-processor is provided to enable hardware acceleration for various functions required for certain asymmetric cryptographic algorithms, such as Elliptic Curve Cryptography (ECC)
- AES-256 encryption/decryption engine
- Secure Hash Algorithm (SHA2) module supporting secure boot with dedicated DMA controller
- Physical Unclonable Function (PUF) using dedicated SRAM for silicon fingerprint. PUF can generate, store, and reconstruct key sizes from 64 to 4096 bits. Includes hardware for key extraction
- 128-bit unique device serial number for identification (UUID)
- Secure GPIO
- True Random Number Generator (TRNG)
- Code Watchdog

The functional block diagram is shown in the figure below. This diagram provides a view of the chip's major functional components and core complexes.
Figure 1. Functional Block Diagram

Figure 2 shows the security sub-system including Firmware functionality supported by the LPC55S1x.

The physical scope is the LPC55S1x microcontroller silicon chip including the on-chip ROM. The hardware components and interfaces are listed in Session 2 of [5].

The logical scope includes the ROM firmware, and the optional flash loadable crypto drivers as listed in Table 4. Any additional firmware, OS or application software stored on the platform is not in scope of this evaluation.

No additional non-platform hardware, software or firmware is required for the correct functioning of the security claims described in this document.
Table 4. Platform Deliverables

<table>
<thead>
<tr>
<th>Type</th>
<th>Name</th>
<th>Release</th>
<th>Form of delivery</th>
</tr>
</thead>
<tbody>
<tr>
<td>IC Hardware</td>
<td>LPC55S1x</td>
<td>Rev. A0</td>
<td>Silicon Chip</td>
</tr>
<tr>
<td>ROM Firmware</td>
<td>LPC551x/S1x ROM</td>
<td>3.0.0</td>
<td>On Chip ROM Firmware</td>
</tr>
<tr>
<td>ROM Firmware Patch</td>
<td>LPC551x/S1x ROM Patch</td>
<td>Rev 3</td>
<td>On Chip Firmware</td>
</tr>
<tr>
<td>SDK (Optional)</td>
<td>LPCXpresso55S16 SDK</td>
<td>2.8.0</td>
<td>Software package</td>
</tr>
</tbody>
</table>

1.5.2 Life Cycle

A reference of LPC55S1x MCU life cycle model can be found in Section 1.3 of [8]. The details on each of the states and transitioning between states are provided in Section 10 of [4].

1.5.3 Use Case Environments

[trusted user only]

The product may be operated in controlled environments. A controlled environment is typically enforced by strong organizational policies and means or by high trust in entities and users who handle the product.

[any code]

It cannot be excluded that the product executes code which is unknown to the product developer.
2 Security Objectives for the Operational Environment

2.1 Platform Objectives for the Operational Environment

For the platform to fulfill its security requirements, the operational environment (technical or procedural) must fulfill the following objectives:

- The operating system or application code are expected to verify the correct version of all platform components it depends on, by reading SYSCON->DEVICE_ID0 and SYSCON->DEVICE_ID1 (DIEID) for hardware identifier and revision number as specified in Tables 167 and 168 of [4], and using GetProperty command in ISP mode as described in Section 8.6 of [4] with tag value 0x01 to get ROM version as specified in Section 1.3, and with tag value 0x18 to get ROM patch revision in format T1.0.x where x is one plus the revision number specified in Section 1.3.
- The operating system or application code are expected to make use of the Secure Boot feature of signed image as described in Section 2.3 of [6] and Sections 6 and 7 of [4]. The operational environment is expected to ensure the security of the key(s) signing the secure boot image.
- The operating system or application code are expected to either disable debug by setting DCFG_CC_SOCU_PIN to 0x03FF and DCFG_CC_SOCU_DFLT to 0, or enable debug authentication by setting both DCFG_CC_SOCU_PIN and DCFG_CC_SOCU_DFLT to 0 as described in Table 1113 in Section 51 of [4]. The operational environment must ensure the security of debug authentication credentials, and no application/customer data is compromised by abusing authenticated debug functionality.
- The operational environment must protect the product against physical access of attackers as described in Section 1.5.3.
- The operating system or application code are expected to enable secure communication for security update, and in case of update, the update image is expected to be properly signed as described in Section 2.3 of [6], and distributed in secure manner to ensure confidentiality as well. The operating system or application code are expected to revoke an image as described in Section 2.3.1 of [6] in case of security incidence occurrence of the image.
- The operational environment is expected to provide secure OS and/or application code. To allow execution of unknown code while maintaining the protection of platform security features as declared in Section 3, the operating system or application code are expected to utilize the Cortex-M33 with full TEE and TrustZone enabled, including configuring restrictive memory boundaries via the MPU as described in Section 48 of [4].
- The operating system or application code are expected to configure the features and ensure secure and correct use of crypto and security service functionality as specified in Section 49 of [4].
- The operating system or application code are expected to configure the features and ensure secure and correct use of crypto and security service functionality API as specified in associate SDK API and mbedTLS reference if the optional driver is used as specified in Chapter 4 of [10].
- The operating system or application code are expected to provide lifecycle states and secure mechanism of lifecycle state transition according to the use case, and the operational environment is expected to configure the platform accordingly for lifecycle state transitions as described in Section 10 of [4]. In general, the operating system or application code are expected to configure the platform to OEM closed state.
no later than entering in-field application and Returned State (aka FA mode) before
decommissioning or fault analysis.

- Before FA mode is activated, the operational environment is expected to purge
  information not protected by keys in KEYSTORE Flash page.
- The operational environment periodically monitors security update for the platform and
  react in a timely manner, by monitoring platform website, contacting NXP customer
  support, and/or updating from an accredited distributor.
- The operating system or application code are expected not to use SHA1 unless for
  backward compatibility reason and security impact and implication are analyzed and
  acceptable for the use case.
3 Security Requirements and Implementation

3.1 Security Assurance Requirements

The claimed assurance requirements package is: SESIP2 as defined in Chapter 4 of GlobalPlatform Technology Security Evaluation Standard for IoT Platforms (SESIP), version 1.0 [1].

3.1.1 Flaw Reporting Procedures (ALC_FLR.2)

In accordance with the requirement for flaw reporting procedures (ALC_FLR.2), the developer has defined the following procedure:

NXP has defined a Product Security Incident Response Process (PSIRT), implemented by a dedicated team (PSIRT). This process provides a publicly available interface (https://nxp.com/psirt), and includes 4 steps:

- **Reporting.** The process begins when the PSIRT becomes aware of a potential security vulnerability in an NXP product. The reporter receives an acknowledgment and updates throughout the handling process.
- **Evaluation.** The PSIRT confirms the potential vulnerability, assesses the risk, determines the impact and assigns a processing priority. If the vulnerability is confirmed, the priority determines how the issue is handled throughout the remaining steps in the process.
- **Solution.** Working with PSIRT, the product team develops a solution that mitigates the reported security vulnerability. Solutions will take different forms based on the vulnerability. Because of the nature of NXP products – mostly silicon products where the firmware is in ROM -, very often the solution can only be provided in a next version of the chips and the short-term solution will consist of recommending security measures to be applied in systems using the NXP product.
- **Communication.** As said above, because of the nature of the NXP products, the solution to systems using the affected products often needs to be found in additional countermeasures in those systems. The communication on the vulnerability and solutions will in most cases be done directly towards the affected customers. For previously unknown or unreported issues, NXP will acknowledge the reporter of the issues (unless the reporter requests otherwise).

The firmware located in the on-chip ROM of the platform cannot be updated or patched. However, the platform’s Secure Boot feature is able to verify the authenticity of customer code during the initial boot and outside of the boot sequence, providing an appropriate mechanism for supporting the update of this code. The update mechanism itself has to be provided by the customer, most likely at the operating system level and is not in scope of this evaluation.

3.2 Base PP Security Functional Requirements

LPC55S1x fulfills the following security functional requirements:

3.2.1 Verification of Platform Identity

The platform provides a unique identification of the platform, including all its parts and their versions.

Conformance rationale:
Hardware identifier and revision number can be identified by reading `SYSCON->DEVICE_ID0` and `SYSCON->DEVICE_ID1` (DIEID) as specified in Tables 167 and 168 of [4], and using `GetProperty` command in ISP mode as described in Section 8.6 of [4] with tag value 0x01 to get ROM version, and with tag value 0x18 to get ROM patch revision in format T1.0.x where x is one plus the revision number.

### 3.2.2 Secure Initialization of Platform

The platform ensures its authenticity and integrity during the platform initialization. If the platform authenticity or integrity cannot be ensured, the platform will go to locked state.

**Conformance rationale:**

When the device boots, the execution starts in the device’s physical ROM by the secure boot mechanism that verifies the authenticity of the firmware before executing it. The signature uses RSASSA-PKCS1-v1_5 signature [15] of a SHA256 digest with 2048-bit or 4096-bit key size as described in [6] and Sections 6 and 7 of [4].

### 3.2.3 Secure Debugging

The platform only provides Arm’s Serial Wire Debug (SWD) interface authenticated as specified in Section 51.7 of [4] with debug functionality.

The platform ensures that all data stored by the application, with the exception of all data, is made unavailable.

**Conformance rationale:**

The platform offers a debug authentication protocol as a mechanism to authenticate the debugger (an external entity) has the credentials approved by the product manufacturer before granting debug access to the device. The debug authentication scheme on the platform is a challenge-response scheme based on 2048- or 4096-bit RSASSA-PKCS1-v1_5 signature verification, and assures that debugger in possession of required debug credentials only can successfully authenticate over debug interface and access restricted parts of the device.

Note that once debug authentication succeeds, the debugger has full access of the debug domains that are not permanently disabled, therefore, procedures are needed to ensure the debug session is not abused.

### 3.2.4 Secure Update of Platform

The platform can be updated to a newer version in the field such that the integrity, authenticity and confidentiality of the platform is maintained.

**Conformance rationale:**

The hardware component and ROM firmware are immutable, therefore cannot be updated. Please also refer to Section 3.1.1 for flaw reporting procedures.

The Secure Boot ROM also provides supports of secure firmware update so that with proper implementation and configuration, the final product can update in a secure manner. As described in Section 3.2.2, RSASSA-PKCS1-v1_5 signature verification during secure boot also applies for an updated firmware.

The ROM further supports public keys and image revocation i.e. the method of not allowing new updates to be applied unless they are of a specific version. This is the basis for roll back protection.
3.2.5 Residual Information Purging

The platform ensures that all KEYS and IVs in KEYSTORE Flash page, with the exception of data other than KEYSTORE Flash page, is erased using the method specified in Section 51 of [4] before the memory is (re)used by the platform or application again and before an attacker can access it.

Conformance rationale:
Platform ROM offers the FA Mode (SET_FA_MODE) command handler to enable deletion of sensitive information (for example, Keys). The ROM allows the SET_FA_MODE command only when corresponding flag in 'debug_state' is set.

Activation of the FA_MODE boot sequence will perform the following:

- Create a new version of Customer Field Programmable (CFPA) page.
- Set ENABLE_FA_MODE word in the page to value 0xC33CA55A.
- Erase all KEYS and IVs in KEYSTORE Flash page.
- Flush all temporary key registers.
- Blocks PUF indexes.
- Open all debug ports.
- Enter a while (1) loop.

Note that the information encrypted by keys in KEYSTORE flash page will be purged equivalently as keys are purged when FA_MODE is activated.

Also, as debug ports are open after entering FA_mode, the Operational Environment is expected to purge information not protected by keys in KEYSTORE Flash page.

3.3 Package “Security Services” Security Functional Requirements

3.3.1 Cryptographic Operation

The platform provides the application with encryption and decryption functionality as specified in FIPS 197 (AES) [13] for key length 128, 192 or 256 bits and modes ECB and CBC and CTR.

The platform provides the application with hashing functionality as specified in FIPS 180-4 [12] for digests of 160 bits (SHA-1) and 256 bits (SHA-256).

The platform provides the application with signature generation and verification functionality with ECDSA as specified in ISO/IEC 14888-3:2015 [16] for key length 256 bits and modes not applicable.

The platform provides the application with Diffie-Hellman functionality with ECDH as specified in NIST FIPS 800-56A [14] for key length 256 bits and modes not applicable.

Conformance rationale:

The support for cryptographic operations of AES and SHA is described in Section 49 of [4], SHA1 is supported for backward compatibility only. Refer to Section 2.1.

CASPER crypto co-processor is provided to enable hardware acceleration for various functions required for asymmetric cryptographic algorithms, such as Elliptic Curve Cryptography (ECC) as described in Section 50 of [4] and [7].

Supported cryptographic functions are implemented in the SDK (Software Development Kit) and the mbed TLS examples utilize the CASPER peripheral for computations.
3.3.2 Cryptographic Key Generation

The platform provides the application with a way to generate cryptographic keys for use in ECC as specified in [14] and [16] for key lengths 256 bits.

**Conformance rationale:**
ECC key generation examples are available in mbed TLS in SDK.

3.3.3 Cryptographic KeyStore

The platform provides the application with a way to store cryptographic keys such that not even the application can compromise the authenticity, integrity, confidentiality of this data. This data can be used for the cryptographic operations encryption, decryption, signature generation.

**Conformance rationale:**
The TOE supports hardware unique keys, managed by the PUF KeyStore, which include a 256-bit AES key and three 128-bit PRINCE keys derived from a PUF output; these keys are never accessible in main memory, as it is directly fed to the AES or PRINCE accelerator when needed.

For the authentication checks during boot, several keys can be used to sign the files. A hash of the hashes of the corresponding public keys is stored on the chip’s Protected Flash Region (PFR) and is used to verify the validity of the public key in the boot image.

Other secret keys used by the secure processing environment can be derived from the hardware unique key and can be managed directly by the PUF KeyStore.

3.3.4 Cryptographic Random Number Generation

The platform provides the application with a way based on physical noise to generate random numbers as specified in AIS31 (P1/PTG.1) [3].

**Conformance rationale:**
The True Random Number Generator (TRNG) on the platform is based on two main sources of entropy:
- Phase noise of unprecise clocks derived from the ring oscillators.
- The default values of hundreds of internal flip-flops after a reset.

3.4 Package “Software Isolation” Security Functional requirements

3.4.1 Software Attacker Resistance: Isolation of Platform

The platform provides isolation between the application and itself, such that an attacker able to run code as an application on the platform cannot compromise any other claimed security functional requirements.

**Conformance rationale:**
TrustZone provides the means to implement separation and access control to isolate trusted software and resources to reduce the attack surface of critical components. The trusted firmware can protect trusted operations and is ideal to store and run the critical security services. The code protects trusted hardware to augment and fortify the trusted
software. This includes the modules for hardware assists for cryptographic accelerators, random number generators, and secure storage. Best practices demand that this code be small, well-reviewed code with provisions of security services.

The platform has implemented Cortex-M33 with full TEE and TrustZone support enabled.

### 3.5 Additional Security Functional Requirements

#### 3.5.1 Verification of Platform Instance Identity

The platform provides a unique identification of that specific instantiation of the platform, including all its parts and their versions.

**Conformance rationale:**

The platform stores a 128-bit IETF RFC4122 compliant non-sequential Universally Unique Identifier (UUID). It can be read from the flash PFR region at register location 0x0009_FC70 onwards. For version information, refer to Section 3.2.1.

#### 3.5.2 Software Attacker Resistance: Isolation of Platform Parts

The platform provides isolation between platform parts, such that an attacker able to run code in Non-Secure can compromise neither the integrity and confidentiality of Secure nor the provision of any other security functional requirements.

**Conformance rationale:**

The platform has implemented Cortex-M33 with full TEE and TrustZone support enabled. Refer to Section 3.4.1 for more information.

#### 3.5.3 Secure Encrypted Storage

The platform ensures that all data stored by the application, except for data not stored in the configured address area, is encrypted as specified in [11] with a platform instance unique key of key length 128 bits.

**Conformance rationale:**

The platform offers support for real-time encryption and decryption for on-chip flash using the PRINCE encryption algorithm [11]. Compared to AES, PRINCE is fast because it can decrypt and encrypt without adding extra latency. PRINCE operates as data is read or written, without the need to first store data in RAM and then encrypt or decrypt to another space. It operates on a block size of 64-bits with a 128-bit key. This functionality is useful for asset protection, such as securing application code, securing stored keys, and enabling secure flash update.

The platform supports three regions for encryption and decryption, referred to as crypto regions. Each crypto region resides at a 256 kB address boundary within the flash. All three regions have a start address of 0x0 and all three regions are overlapped. Each crypto region is divided into 8 kB sub-regions which can be individually enabled.

Each crypto region has a dedicated key and IV. It allows multiple images to reside in the flash with an independent encryption base. The key is sourced from PUF via an internal hardware interface, without exposing it on the system bus.
## 4 Mapping and Sufficiency Rationales

### 4.1 SESIP2 Sufficiency

<table>
<thead>
<tr>
<th>Assurance Class</th>
<th>Assurance Family</th>
<th>Covered By</th>
<th>Rationale</th>
</tr>
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<tbody>
<tr>
<td>ASE: Security target evaluation</td>
<td>ASE_INT.1 ST Introduction</td>
<td>Section 1</td>
<td>The ST reference is in Section 1.1, the TOE reference in Section 1.3, the TOE overview and description in Section 1.5.</td>
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<td></td>
<td>ASE_OBJ.1 Security requirements for the operational environment</td>
<td>Section 2</td>
<td>The objectives for the operational environment in Section 2 refer to the guidance documents.</td>
</tr>
<tr>
<td></td>
<td>ASE_REQ.3 Listed security requirements</td>
<td>Section 3</td>
<td>All SFRs in this ST are taken from[1]. SFR &quot;Identification of Platform Type&quot; is included. SFR &quot;Secure Update of Platform&quot; is mentioned but refers to ALC_FLR.2.</td>
</tr>
<tr>
<td></td>
<td>ASE_TSS.1 TOE Summary Specification</td>
<td>Section 3</td>
<td>All SFRs are listed per definition, and for each SFR the implementation and verification are defined in the SFR.</td>
</tr>
<tr>
<td>ADV: Development</td>
<td>ADV_FSP.4 Complete functional specifications</td>
<td>Section 1.4 and material provided to evaluator.</td>
<td>The evaluator will determine whether the provided evidence is suitable to meet the requirement.</td>
</tr>
<tr>
<td>AGD: Guidance documents</td>
<td>AGD_OPE.1 Operational user guidance</td>
<td>Section 1.4</td>
<td>The evaluator will determine whether the provided evidence is suitable to meet the requirement.</td>
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<td>AGD_PRE.1 Preparative procedures</td>
<td>Section 1.4</td>
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<td>ALC: Life-cycle support</td>
<td>ALC_FLR.2 Flaw reporting procedures</td>
<td>Section 3.1.1</td>
<td>The flaw reporting and remediation procedure is described.</td>
</tr>
<tr>
<td>ATE: Test</td>
<td>ATE_IND.1 Independent testing: conformance</td>
<td>Material provided to evaluator.</td>
<td>The evaluator will determine whether the provided evidence is suitable to meet the requirement.</td>
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<tr>
<td>Assurance Class</td>
<td>Assurance Family</td>
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<td>AVA: Vulnerability assessment</td>
<td>AVA_VAN.2 Vulnerability analysis</td>
<td>N.A.</td>
<td>The evaluator performs penetration testing, to confirm that the potential vulnerabilities cannot be exploited in the operational environment for the TOE. Penetration testing is performed by the evaluator assuming an attack potential of Basic.</td>
</tr>
</tbody>
</table>
5 Bibliography

5.1 Evaluation Documents

[2] Protection Profile for Secure MCUs and MPUs, V0.107, NXP Semiconductors.

5.2 Developer Documents

[8] AN12278, LPC55S00 Security Solutions for IoT, Rev. 1, NXP Semiconductors.
[9] AN12326, Secure GPIO and Usage, Rev. 1, NXP Semiconductors.

5.3 Standards

6 Legal information

6.1 Definitions

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Evaluation document

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